



Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)	<i>Complete if Known</i>	
	<b>Application Number</b>	10/564,020
	<b>Filing Date</b>	January 9, 2006
	<b>First Named Inventor</b>	Irene Bozzoni
	<b>Group Art Unit</b>	1635
	<b>Examiner Name</b>	Kimberly Chong
Sheet 1 of 1		Attorney Docket No: 2312.001US1

US PATENT DOCUMENTS			
Examiner Initial *	USP Document Number	Publication Date	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS			
Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document
			T <sup>1</sup>

OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
	GIERING, J. C., et al., "Expression of shRNA from a tissue-specific pol II promoter is an effective and safe RNAi therapeutic", <i>Mol Ther.</i> , 16(9), (Sep. 2008), 1630-1636	
	XIA, HALBIN, et al., "siRNA-mediated gene silencing in vitro and in vivo", <i>Nature Biotechnology</i> , Volume 20 (Oct. 2002), 1006-1010	

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant is to place a check mark here if English language Translation is attached

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Substitute for form 1449A/P10

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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PTO/SB/0804 (10-01)

Approved for use through 10/31/2002. OMB 05-1003

US Patent & Trademark Office, U.S. DEPARTMENT OF COMMERCE

## **Complete if Known**

<b>Application Number</b>	Unknown
<b>Filing Date</b>	Even Date Herewith
<b>First Named Inventor</b>	Bozzoni, Irene
<b>Group Art Unit</b>	Unknown
<b>Examiner Name</b>	Unknown

Sheet 1 of 1

Attorney Docket No: 2312.001US1

## **US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
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## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	†
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## **OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No †	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	†
		DENTI, M. A., et al., "A new vector, based on the PolII promoter for the U1 snRNA gene, for the expression of siRNAs in mammalian cells", <u>Molecular Therapy</u> , Academic Press, San Diego, CA, Vol. 10, No. 1, July 2004 (2004-07) XP004 660550, 191-199	
		GUANGCHAO, SUI, et al., "A DNA vector-based RNAi technology to suppress gene expression in mammalian cells", <u>Proceedings of the National Academy of Sciences of the United States of America</u> , Vol. 99, No. 8, XP002311179, (04-16-2002), 5515-5520	
		PAUL, CYNTHIA P., "Effective Expression of Small Interfering RNA in Human Cells", <u>Nature Biotechnology</u> , 29, Vol. 20, No. 5, CP001121066, (2002), 505-508	
		XIA, HAIBIN, et al., "RNAi suppresses polyglutamine-induced neurodegeneration in a model of spinocerebellar ataxia", <u>Nature Medicine</u> , Vol. 10, No. 8 (XP002311180), (2004), 816-820	
		YOUNG, DE M., et al., "Characterization of Ribozymes Expressed Using U1 and T7 Vectors for the Intracellular Cleavage of ANF mRNA", <u>Biochemistry, American Chemical Society, Easton, PA, US</u> , Vol. 33, No. 40, (10-11-1994), 12127-12138	

EXAMINER

/Kimberly Chong/

DATE CONSIDERED

03/13/2009

Substitute Disclosure Statement Form (PTO-1449)  
\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 803. One line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. † Applicant's unique citation designation number (optional). ‡ Applicant is to place a check mark here if English language translation is attached.

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